



Generating velocity solutions with globk

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Material from R. W. King, T. A. Herring, M. A. Floyd (MIT) and S. C. McClusky (now at ANU)

Overview

- Basics of "velocity" solutions
 - Invoked with "apr_neu all xx xx xx <NEU velocity sigmas>"
- Strategies for setting up solutions (they can take a long time to run)
- Strategies for speeding up solutions
- Methods for "cleaning up" potential problems
- Different reference frame realizations
- Some examples
- These solutions involve making decisions about how to treat data and the type of solution to be created – lots of decisions

GLOBK velocity solutions

- The aim of these solutions is to combine many years of data to generate position, velocity, offset and postseismic parameter estimates
 - Increasingly common to have 10,000 parameters in these solutions if large networks over many years
- Input requirements for these solutions:
 - a priori coordinate and velocity file
 - Used as a check on positions in daily solutions (for editing of bad solutions) and adjustments are a priori values (a priori sigmas are for these values)
 - Earthquake file which specifies when earthquakes, discontinuities, and misnamed stations affect solution
 - Critical that this file correctly describe data.
 - Process noise parameters for each station
 - Critical for generating realistic standard deviations for the velocity estimates (e.g. sh_gen_stats).

GLOBK long-term velocities

- Combine daily (continuous) or short-term combined h-files (e.g. surveys; see last slide)
- Plot long-term time series from short-term combination ".org"-file(s) (sh_plot_pos)
- Inspect time series to identify (and remove) outliers
- Run globk to form final solution file for all data (another ".org"-file) with velocity estimation, e.g. in globk command file apr_site all 10 10 10 1 1 1 1 or apr neu all 10 10 10 1 1 1

• sh_glred capable of running all these individual commands to produce time series, short-term combinations and long-term velocity solutions

Velocity solution strategies

- In general careful setup (i.e. correct a priori coordinates, earthquake file and process noise files) is needed since each run that corrects a problem can take several days. Incorrect solutions may not complete correctly and results may be subtly wrong.
- General strategy for iteratively generating velocity solution:
 - Define a core-set of sites (usually 20-200 sites) where the solution runs quickly. Test files on this solutions and use the coordinate/velocity estimates to form the reference frame for time series generation.
 - Time series using these reference frame sites and then test (RMS scatter, discontinuity tests) to form a more complete earthquake and apriori coordinate/velocity files.
 - Steps above are repeated, usually increasing number of stations until solution is complete. As new stations are added missed discontinuities and bad process noise models can cause problems.
- Aim here is make sure that when a large solution is run (maybe several days of CPU time) that the run completes successfully.

Before velocity runs

- Surveys may be combined into one solution per survey
- No need to re-run glred again to see long-term time series
- Multiple ".org"-files may be read by tssum or sh_plot_pos

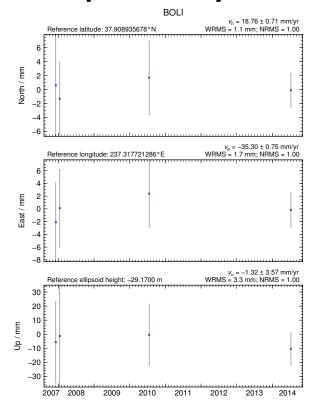
```
tssum ts_pos mit.final_igb14 -R survey1_comb.org survey2_comb.org...
```

ts_pos is the name of a directory for the .pos files. ("." can be used)

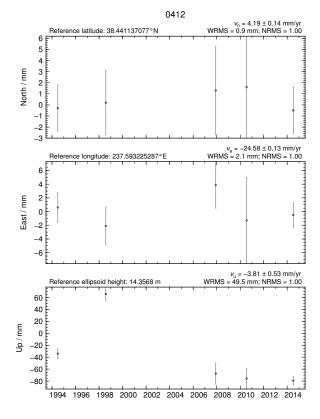
```
sh_plot_pos -f survey1_comb.org survey2_comb.org -k ...
```

Example: Long-term time series for survey sites

Reasonable repeatability



Outlier in vertical



Excluding outliers or segments of data

 Create "rename" file records and add to GLOBK command file's "eq_file" option, e.g.

```
rename PTRB PTRB_XPS h1407080610_nb4a
rename PTRB PTRB_XPS 2014 07 07 18 00 2014 07 08 18 30
rename ABCD ABCD_XCL 2013 07 08 00 00
```

- "XPS" will not exclude data from glred (so still visible in time series) but will exclude data from globk (combination or velocity solution)
- "XCL" will exclude data from all glred or globk runs

Run globk

 Create new ".gdl"-file with combined binary h-files, e.g. from vsoln/, assuming standard directory hierarchy

```
ls ../*/gsoln/*.GLX > vsoln.glx.gdl
```

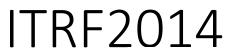
- Optionally run glist to see size of solution
 - Recommended to prevent problems during long globk run
 - glist can read earthquake file and globk use site type commands (useful if a globk solution seems to be missing or has extra sites)
- Run globk
 - This may take many hours for very large/long velocity solutions
 - Use tsfit with earthquake file to generate a priori site coordinates. Be careful if ~/gg/tables/igb14_*.apr files also used because some site names permutations may have inconsistent coordinates (use unify apr to be safe)

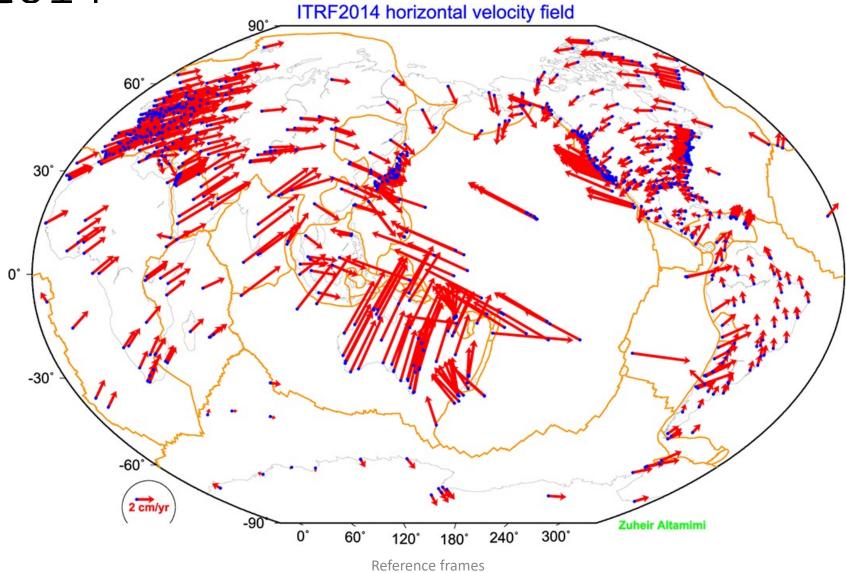
glorg for different reference frames

- No need to re-run globk every time you want
- glorg is usually called from globk command file ("org_cmd" option) but glorg may be run separately

```
globk 6 globk_vel.prt globk_vel.log globk_vel.gdl globk_vel.cmd
glorg globk_vel_noam.org ERAS:... glorg_vel.cmd vel.com
```

- Must have saved the ".com"-file!
 - e.g. "com_file @.com"
 - Do not use "del_scra yes" in globk command file
 - "apr_neu" must be loosely constrained ("apr_rot" and "apr_tran" will also need to be used for sestbl. "BASELINE" experiment solutions)





ITRF2014

What are some general features of plate motion that you can see?

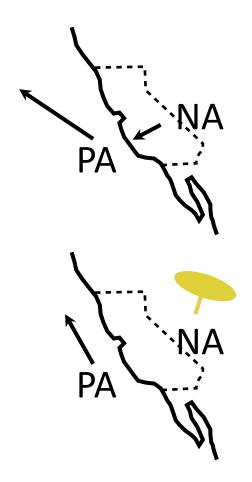
- North America rotates around a point in the Pacific off South America
- Eurasia and Africa appear to have very similar motions
- Antarctica is moving very little
- ITRF2014 is a not-net-rotation frame which is a mathematical construction. For some geophysical problems other frames may make more sense (e.g., mantle fixed, hot spot frame, specific plate).
- Frames for different plates (based on Euler pole fits) are in ~/gg/tables.

Choices of reference frame

- Choose your reference frame based on your geophysical objectives
 - Velocities in ITRF are difficult to interpret visually from a geophysical perspective
 - Local surroundings of a volcano
 - One side of a fault
 - Upper plate of a subduction zone
- Major plate reference frame
 - Major plates are often chosen to conform with conventional perspectives of velocity solutions
 - Relative to Eurasia, Nubia, North America, South America, etc.
 - But don't feel restricted by this. Sometimes your geophysical discussion is best visualized relative to any stable boundary of a deforming region
- Regional reference frame
 - Central Valley of California, non-deforming part of Anatolia, smaller coherent regions, etc.
- Local reference frame
 - Sites near but outside the influence of a volcano, geothermal field, etc.

Examples

- Expressing velocities in ITRF is not very meaningful or useful when we want to look at the deformation at a plate boundary, e.g. the San Andreas Fault system
- Better to look at velocities with one side "fixed" so we can see what the other side is doing relative to it



Basic issues in reference frame realization

- Concept is to align the estimated site positions and possibly velocity to a set of well defined locations that have physical significance for the analysis being performed (e.g., GAGE aligns to a realization of the North America plate based on ITRF2014)
- glorg is the module which does this and computes the covariance matrix of the aligned solution in the reference frame chosen.
- Transformation is often called an *N*-parameter Helmert transformation
 - N = 3: translation only (could also be just rotation)
 - *N* = 6: translation and rotation
 - *N* = 7: translation, rotation and scale
- In GLOBK analyses, you need to decide
 - How many parameters (3/6/7)
 - Sites to use to determine the parameters (sh_gen_stats)
 - Values of the positions/velocities of the reference frame sites
 - Weight to be given to heights in computing the transformation parameters ("cnd_hgtv" command; first two arguments for position and velocity, other arguments are sigma limits)

Rules for stabilization of time series

- Small-extent network: translation-only in glorg, must constrain EOP in globk
- Large-extent network: translation and rotation, must keep EOP loose in globk
- If scale estimated in glorg, must estimate scale in globk
- First pass for editing:
 - Adequate "stab_site" list of stations with accurate a priori coordinates and velocities and available most days
 - Keep in mind deficiencies in the list
- Final pass for presentation, assessment and statistics
 - Robust "stab_site" list of all/most stations in network, with coordinates and velocities determined from the final velocity solution
- System is often iterated (velocity field solution, generate time series, editing and statistics of time series; re-generate velocity field)
- If you have time series, you can test options using tscon

Use of equates

- With earthquakes and discontinuities, there can be many site names for the same physically location:
 - Equate commands in glorg allow the velocity adjustments at these sites to be made the same (or constrained to be the same within a specified sigma)
 - "eq_dist" allows site separate by distance to equated (and constrained in latest glorg)
 - "eq_4char" equates sites with same 4-character name (useful to stop equates at sites that share antennas)
 - chi-squared increments of equates allows assessment of equates (use "unequate" for large chi-squared values)
 - Use "FIXA" option to make a priori the same for equated sites (better to use consistent a priori file)

Uses of sh gen stats

- Velocity solutions are often iterative:
 - Generate time series using some reference frame sites (IGb14 sites initially for example)
 - Fit to the time series (tsfit) to:
 - Find outliers, nature of earthquakes (log needed?), discontinuities
 - Self consistent a priori file.
 - Used FOGMEx model (realistic sigma) to get process noise model and list of low-correlated noise reference frame sites). Use "stabrad" option for dense networks
 - Run globk velocity solution to refine reference frame site coordinates and velocities
 - Re-generate time series and repeat

Some comparisons: Approach

- Use sh_exglk -f <soln.org> -vel <soln.vel> -rmdup
 to extract velocity estimates (rmdup removes equated sites with the
 same estimates)
- Program velrot allows fields to be compared (change frames and merge fields as well), for example:
 - velrot solna.vel nam14 solnb.vel IGb14 '' '' '' '' compares to solutions directly (use "RT" instead of "N" to allow rotation and translation rates)
 - Use "grep '^S '" to get statistics

Comparisons: Decimation

• Decimation: Different days of week (1996-2015 solution, small subset of sites):

```
Un-aligned fields
compare 1 NMT vel 150418 day1.vel NMT vel 150418 day3.vel
S Component North
                         75 WMean -0.00 WRMS
                                                 0.04 mm/vr, NRMS
                                                                    0.198
S Component East
                       75 WMean
                                   -0.02 WRMS
                                                 0.04 mm/yr, NRMS
                                                                    0.203
S Component Up
                       75 WMean
                                    0.03 WRMS
                                                 0.16 mm/yr, NRMS
                                                                    0.180
S Component Horz
                         75 WMean
                                   -0.01 WRMS
                                                 0.04 mm/yr, NRMS
                                                                    0.200
compare 2 NMT vel 150418 day1.vel NMT vel 150418 day5.vel
S Component North
                         74 WMean
                                   -0.01 WRMS
                                                 0.04 mm/yr, NRMS
                                                                    0.207
S Component East
                                                 0.05 mm/yr, NRMS
                                                                    0.225
                          74 WMean
                                    -0.02 WRMS
                                                 0.19 mm/yr, NRMS
S Component Up
                         74 WMean
                                     0.04 WRMS
                                                                    0.212
S Component Horz
                                    -0.01 WRMS
                                                 0.04 mm/yr, NRMS
                                                                    0.217
                          74 WMean
compare 3 NMT vel 150418 day3.vel NMT vel 150418 day5.vel
S Component North
                          76 WMean -0.01 WRMS
                                                 0.03 mm/yr, NRMS
                                                                    0.177
S Component East
                         76 WMean -0.01 WRMS
                                                 0.03 \text{ mm/yr, NRMS}
                                                                    0.161
S Component Up
                                   0.01 WRMS
                                                 0.13 mm/yr, NRMS
                                                                    0.142
                         76 WMean
S Component Horz
                                   -0.01 WRMS
                                                 0.03 \text{ mm/yr, NRMS}
                                                                    0.169
                          76 WMean
```

Comparison: Time series vs GLOBK

PBO Combined analyses:

```
Un-aligned fields (no rotation and translation).
compare 1 PBO vel 150425.vel PBO vel 150425KF.vel
S Component North
                    # 2105 WMean -0.01 WRMS
                                                0.12 mm/yr, NRMS
                                                                   0.925
S Component East # 2105 WMean
                                   -0.00 WRMS
                                                0.13 \text{ mm/yr, NRMS}
                                                                   0.934
S Component Up # 2105 WMean
                                   0.02 WRMS
                                                0.31 \text{ mm/yr, NRMS}
                                                                   0.871
                                                0.12 mm/yr, NRMS
S Component Horz # 2105 WMean
                                   -0.01 WRMS
                                                                   0.929
compare 4 PBO vel 150425.vel PBO vel 150425 NAM08.vel
S Component North # 1972 WMean 0.03 WRMS
                                                0.13 mm/yr, NRMS
                                                                   0.965
S Component East # 1972 WMean
                                  0.02 WRMS
                                                0.15 \text{ mm/yr, NRMS}
                                                                   1.049
S Component Up # 1972 WMean
                                  -0.07 WRMS
                                                0.41 mm/yr, NRMS
                                                                   0.943
S Component Horz # 1972 WMean
                                                0.14 mm/vr, NRMS
                                   0.02 WRMS
                                                                   1.008
compare 7 PBO vel 150425KF.vel PBO vel 150425 NAM08.vel
S Component North # 1969 WMean 0.04 WRMS
                                                0.16 mm/yr, NRMS
                                                                   0.952
S Component East # 1969 WMean
                                  0.02 \text{ WRMS} 0.17 \text{ mm/yr, NRMS}
                                                                   0.967
                    # 1969 WMean
                                   -0.08 WRMS
                                                0.44 mm/yr, NRMS
                                                                   0.935
S Component Up
S Component Horz
                    # 1969 WMean
                                    0.03 WRMS
                                                0.16 mm/yr, NRMS
                                                                   0.959
```

PBO_vel_150425.vel: tsfit solution to time series
PBO_vel_150425KF.vel: tsfit Kalman filter solution to timeseries
PBO_vel_150425_NAM08.vel: GLOBK combined velocity solution (NMT+CWU), decimated 7 days, 28-subnet combination.
Reference frame realization to NAM08 frame sites (~600)

• See Herring et al., Reviews of Geophysics, 2016 for more detailed comparisons

Final comments

- Practice large solutions with decimated data sets and small networks (run time increased cubically with number of stations)
- Make sure your a priori coordinates files are consistent (especially with equates)
 - Use the out_aprf command in tsfit to generate an a priori which is consistent with your timeseries estimates